

## **PART 2B OF FORM ADV: BROCHURE SUPPLEMENT**

August 31, 2021

Synergy Investment Management, LLC

This brochure supplement is provided for investment adviser representative (Advisor), CHRISTOPHER BORDNER (CRD #4051484).

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This brochure supplement provides information about CHRISTOPHER BORDNER that supplements the Form ADV Part 2A. You should have received a copy of the Synergy Investment Management, LLC brochure. Please contact CHRISTOPHER BORDNER if you did not receive a copy of the Synergy brochure or if you have any questions about the content of this supplement.

Additional information about CHRISTOPHER BORDNER is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### YOUR ADVISOR'S HIGHEST LEVEL OF EDUCATIONAL ACHIEVEMENT

BACHELOR OF SCIENCE IN ELECTRICAL ENGINEERING  
UNIVERSITY OF CENTRAL FLORIDA

### YOUR ADVISOR CURRENTLY MAINTAINS THE FOLLOWING SECURITIES REGISTRATIONS

SERIES 6 - THE INVESTMENT COMPANY PRODUCTS/VARIABLE CONTRACTS LIMITED REPRESENTATIVE (SERIES 6) QUALIFIES A HOLDER FOR THE SOLICITATION, PURCHASE, AND/OR SALE OF REDEEMABLE SECURITIES OF COMPANIES REGISTERED PURSUANT TO THE INVESTMENT COMPANY ACT OF 1940; SECURITIES OF CLOSED-END COMPANIES REGISTERED PURSUANT TO THE INVESTMENT COMPANY ACT OF 1940 DURING THE PERIOD OF ORIGINAL DISTRIBUTION ONLY; AND VARIABLE CONTRACTS AND INSURANCE PREMIUM FUNDING PROGRAMS AND OTHER CONTRACTS ISSUED BY AN INSURANCE COMPANY.

### YOUR ADVISOR CURRENTLY MAINTAINS THE FOLLOWING SECURITIES REGISTRATIONS

SERIES 7 - THE GENERAL SECURITIES REPRESENTATIVE (SERIES 7) QUALIFIES A HOLDER FOR THE SOLICITATION, PURCHASE, AND/OR SALE OF ALL SECURITIES PRODUCTS, INCLUDING CORPORATE SECURITIES, MUNICIPAL SECURITIES, MUNICIPAL FUND SECURITIES, OPTIONS, DIRECT PARTICIPATION PROGRAMS, INVESTMENT COMPANY PRODUCTS, AND VARIABLE CONTRACTS.

SERIES 24 -THE GENERAL SECURITIES PRINCIPAL EXAM (SERIES 24) QUALIFIES INDIVIDUALS AS GENERAL SECURITIES PRINCIPALS WHO CAN MANAGE OR SUPERVISE THE MEMBER'S (BROKER DEALER FIRM'S) INVESTMENT BANKING OR SECURITIES BUSINESS FOR CORPORATE SECURITIES, DIRECT PARTICIPATION PROGRAMS, AND INVESTMENT COMPANY PRODUCTS/VARIABLE CONTRACTS.

SERIES 63 - THE UNIFORM SECURITIES AGENT STATE LAW (SERIES 63) QUALIFIES HOLDERS AS SECURITIES AGENTS. THE EXAMINATION COVERS THE PRINCIPLES OF STATE SECURITIES REGULATION REFLECTED IN THE UNIFORM SECURITIES ACT.

SERIES 65 - THE UNIFORM INVESTMENT ADVISER LAW (SERIES 65) QUALIFIES HOLDERS AS INVESTMENT ADVISER REPRESENTATIVES.

### YOUR ADVISOR CURRENTLY MAINTAINS THE FOLLOWING PROFESSIONAL DESIGNATIONS

ACCREDITED ASSET MANAGEMENT SPECIALIST (AAMS) - THIS DESIGNATION IS OBTAINED BY COMPLETING 12 SELF STUDY MODULES REQUIRING 100-120 HOURS AND COMPLETION OF AN ONLINE EXAM. THERE IS NO PREREQUISITE REQUIRED. THE DESIGNATION REQUIRES 16 HOURS OF CONTINUING EDUCATION EVERY 2 YEARS.

### YOUR ADVISOR'S BUSINESS HISTORY FOR THE PAST FIVE YEARS

FIRM	POSITION HELD	START DATE	END DATE
CETERA ADVISORS LLC	REG REP/IAR	3/1/2014	12/4/2019
METLIFE SECURITIES INC.	SALES	10/1/2002	3/1/2014
METROPOLITAN LIFE INSURANCE CO.	SALES	10/1/2002	3/1/2014

Additional current business positions and firms may be listed below under the Other Business Activities section.

CHRISTOPHER BORDNER was born in 1969.

## DISCIPLINARY INFORMATION

If your Advisor had any reportable legal or disciplinary events during the past ten years, they will be described below.

YOUR ADVISOR DOES NOT HAVE ANY REPORTABLE LEGAL OR DISCIPLINARY EVENTS.

## OTHER BUSINESS ACTIVITIES

Your Advisor may independently perform other investment and non-investment related business activities that fall outside their role. These activities are listed below. Please feel free to discuss the listed activities with your Advisor.

FIRM	TYPE OF BUSINESS	POSITION/RESPONSIBILITIES
LPL FINANCIAL	BROKER-DEALER	REGISTERED REPRESENTATIVE
DBA: SYNERGY WEALTH ALLIANCE	INVESTMENT ADVISORY AND FINANCIAL PLANNING SERVICES	MANAGING PARTNER, FINANCIAL ADVISOR
FIXED INSURANCE WITH VARIOUS COMPANIES	FIXED INSURANCE	INSURANCE AGENT - SELLS LIFE, DISABILITY, HEALTH, ANNUITIES, AND LONG-TERM CARE
CB PROPERTIES AND INVESTMENTS LLC	BUY AND SELL RESIDENTIAL PROPERTIES FOR INVESTMENTS AND OWN AND OPERATE RENTAL UNITS	CO-OWNER WITH WIFE
BIG BROTHERS BIG SISTERS	NON-PROFIT / CHARITY	BOARD MEMBER / ATTEND MEETINGS, REVIEW AGENDAS, AND ASSIST BOARD IN REVIEWS
BTA HOLDINGS, LLC	FINANCIAL SERVICES	MANAGING PARTNER / COMPENSATION AND BILLS ARE RUN THROUGH THIS BUSINESS/ENTITY
ADVENTIST UNIVERSITY/FLORIDA HOSPITAL	CHARITY	BOARD CHAIR; LEAD BOARD, PLAN EVENTS, ADVOCATE, FUND RAISE, MEET AS AN EXECUTIVE BOARD FOR FLORIDA HOSPITAL

Other investment related business activities pertain to securities, commodities, banking, insurance, or real estate. Other investment related business activities that your Advisor performs may result in the receipt of fees, commissions and/or bonuses. It may also result in other forms of compensation, which are based on the sales of securities and/or the value of assets under management. This includes trail, distribution and service fees related to certain mutual funds and insurance products. Trail, distribution and service fees are paid out of the fund or insurance product assets and are therefore indirectly paid by you, the client.

Another form of "indirect compensation" may also be paid to your Advisor by sponsors of investment products, programs or services. This indirect compensation may include entertainment, attendance at events, attendance at educational conferences, reimbursements for approved business expenses, investment research, technology support and other resources that may assist with your Advisor's investment business. An incentive (conflict of interest) may exist for your Advisor to recommend investment products and services based upon the amount of compensation received, rather than your best interest.

Synergy maintains a Code of Ethics requiring your Advisor to always act in your best interest and maintains a supervisory structure to monitor the advisory activities of your Advisor in order to reduce potential conflicts of interest.

## **ADDITIONAL COMPENSATION**

In addition to advisory fees, your advisor may earn sales incentives or awards based on the value of assets under management, investment products sold, number of sales, client referrals, amount of new deposits or amount of new accounts. This additional compensation may include the same items (economic benefits) as listed in the Other Business Activities section above as indirect compensation.

## **SUPERVISION**

<b>SUPERVISOR'S NAME:</b>	CHRIS BORDNER
<b>SUPERVISOR'S TITLE:</b>	Chief Executive Officer
<b>SUPERVISOR'S PHONE NUMBER:</b>	321.203.4458

## **REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

The supervised person has not been found liable in an arbitration claim alleging damages in excess of \$2,500, or a civil, self-regulatory organization, or administrative proceeding involving an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices.